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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/804,814	03/19/2004	Andrew A. Frank	UC03-084-3

CONFIRMATION NO. 7074

## FORMALITIES LETTER



\*OC000000012842144\*

8156  
JOHN P. O'BANION  
O'BANION & RITCHEY LLP  
400 CAPITOL MALL SUITE 1550  
SACRAMENTO, CA 95814

Date Mailed: 06/04/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/09/2004 YPOLITE1 00000008 10804814

01 FC:2001	385.00 OP
02 FC:2051	65.00 OP
03 FC:2201	473.00 OP
04 FC:2202	54.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$527 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$977 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is \$527

- \$473 for 11 independent claims over 3.
- \$54 for 6 total claims over 20.

Replies should be mailed to:    Mail Stop Missing Parts  
   Commissioner for Patents  
   P.O. Box 1450  
   Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*

*S. Goren*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that the foregoing:

**Notice to File Missing Parts of Nonprovisional Application**

is being deposited with the United States Postal Service on August 4, 2004 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV352302720US addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

JOHN P. O'BANION

**(Type or print name of person mailing paper)**

**(Signature of person mailing paper)**



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: ANDREW A. FRANK; THOMAS DREUMONT  
Serial No.: 10/804,814  
Filed: MARCH 19, 2004  
For: METHOD AND SYSTEM FOR CONTROLLING RATE OF CHANGE OF RATIO IN A  
CONTINUOUSLY VARIABLE TRANSMISSION  
Group: 3681  
Examiner:  
Docket No.: UC03-084-3

**MAIL STOP MISSING PARTS**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22213-1450**

**SUBMISSION OF MISSING PARTS OF APPLICATION**  
**UNDER 37 C.F.R. § 1.53**  
**(SMALL ENTITY)**

Dear Sir:

In response to the Notice to File Missing Parts of Application (PTO-1533) mailed June 4, 2004, a copy of which is enclosed, please find the following documents which are incorporated by reference herein:

1. Combined Declaration and Power of Attorney;
2. Power of Attorney by Assignee and Exclusion of Inventor(s) Under 37 C.F.R. 3.71;
3. Assignee Certification Under 37 C.F.R. 3.73(b);
4. Check in the amount of \$977 (\$385 filing fees, \$527 additional claim fees, and \$65 surcharge for the fees due as a small entity);
5. Assignment Recordation Cover Letter w/attached Assignment;
6. Check in the amount of \$40 (assignment recording fee).

The amount due for the filing fees and surcharge has been computed as follows:

**CLAIMS AS FILED**

	Number filed		Number Extra		Rate		Basic Fee \$ 385
Total							
Claims 37 CFR 1.16(c)	26	- 20	=	6	X	\$ 9	= 54
Independent							
Claims (37 CFR 1.16(b))	14	- 3	=	11	X	\$43	= 473
Multiple dependent claim(s), if any (37 CFR 1.16(d))					+	\$145	=

Filing Fee Calculation	\$ <u>527</u>
Surcharge	\$ <u>65</u>
<b>TOTAL FILING FEE</b>	<b>\$ <u>977</u></b>

**Small Entity Statement**

  X   Applicant qualifies as a small entity under 37 CFR 1.9 and 1.27

**Amount already paid** LESS ( \$            )

**TOTAL FILING FEES DUE** \$ 977

       Assignment recording fee \$ 0

       Petition fee \$ 0

**TOTAL FEES DUE** \$ 977

Please charge any additional fees due to deposit account no. 07-1137.

Having now complied with all of the requirements of 37 C.F.R. 1.53, the Applicants respectfully request that this application be placed upon the files for examination.

Dated: 8/4/14

Respectfully submitted,

  
 John P. O'Banion, Reg. No. 33,201  
 O'BANION & RITCHEY LLP  
 400 Capitol Mall, Suite 1550  
 Sacramento, CA 95814  
 (916) 498-1010



## CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that the foregoing:

### Submission of Missing Parts of Application

is being deposited with the United States Postal Service on August 4, 2004 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV352302720US addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**JOHN P. O'BANION**

**(Type or print name of person mailing paper)**

(Signature of person mailing paper)

(Signature of person mailing paper)